

## U.S. DEPARTMENT OF COMMERCE PATENT &amp; TRADEMARK OFFICE

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|---|--|--|--|--|--|
| B/O Form PTO-1390   |  | <b>Transmittal Letter to the United States<br/>Designated/Elected Office (DO/EO/US)<br/>Concerning a Filing Under 35 USC 371</b> |  | Attorney's Docket Number<br>CARB3002/REF               |  |
|   |  |  |  | U.S. Application Number (if known)<br><b>10/551423</b> |  |
| International Application Number<br><b>PCT/AU04/00348</b>   |  | International Filing Date<br><b>March 22, 2004</b>   |  | Priority Date Claimed<br><b>April 1, 2003</b>          |  |
| Title of Invention<br><b>ALTERED STATES OF CONSCIOUSNESS IN VIRTUAL REALITY ENVIRONMENTS</b>  |  |  |  |  |  |
| Applicant(s) for DO/EO/US<br><b>Colin Richard CARBIS et al.</b>   |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:  |  |  |  |  |  |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under <i>35 USC 371</i>.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under <i>35 USC 371</i>.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (<i>35 USC 371(f)</i>) at any time rather than delay examination until the expiration of the applicable time limit set in <i>35 USC 371(b)</i> and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> The U.S. has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed <i>35 USC 371(c)(2)</i>.           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (<i>35 USC 371(c)(2)</i>).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (<i>35 USC 371(c)(3)</i>)           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (<i>35 USC 371(c)(3)</i>).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (<i>35 USC 371(c)(4)</i>). (<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted)</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (<i>35 USC 371(c)(5)</i>).</li> </ol> <p><i>Items 11 to 16 below concern other document(s) or information included:</i></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under <i>37 CFR 1.97</i> and <i>1.98</i>.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with <i>37 CFR 3.28</i> and <i>3.31</i> is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> An Application Data Sheet under <i>37 CFR 1.76</i>.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and U.S.C. 1.821 - 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol> |  |  |  |  |  |

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|---|--------------|--|---------------|---|--------------|
| Application Number (if Known)<br><b>10/551423</b>   |              | International Application Number<br><b>PCT/AU04/00348</b>                      |               | Attorney's Docket Number<br><b>CARB3002/REF</b> |              |
|   |              |  |               | Calculations                                    | PTO USE ONLY |
| 21. The following fees are submitted:   |              |  |               |   |              |
| <input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00<br><input checked="" type="checkbox"/> b) Examination fee ..... \$200.00<br><input checked="" type="checkbox"/> c) Search Fee ..... \$500.00   |              |  |               |   |              |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |               | <b>\$1,000.00</b>                               |              |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fractions thereof. |              |  |               |   |              |
| Total Sheets  | Extra sheets | Number of each additional 50 or fractions thereof (round up to a whole number) | RATE          |   |              |
| - 100 =   | /50=         |  | x \$250.00    | \$ 0.00   |              |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |               |   |              |
| CLAIMS  | NUMBER       | NUMBER EXTRA   | RATE          |   |              |
| Total Claims  | 30 -20 =     | 10   | x \$50.00     | \$ 500.00                                       |              |
| Independent Claims  | 2 -3 =       | 0  | x \$200.00    | \$ 0.00   |              |
| Multiple Dependent Claims (if applicable)   |              |  | + \$360.00    |   |              |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |              |  |               | <b>\$ 1,500.00</b>                              |              |
| <input type="checkbox"/> Reduction by 1/2 for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.  |              |  |               | \$ 750.00                                       |              |
| <b>SUBTOTAL</b>   |              |  |               | <b>\$ 750.00</b>                                |              |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |  |               |   |              |
| <b>TOTAL NATIONAL FEE</b>   |              |  |               |   |              |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.   |              |  |               |   |              |
| <b>TOTAL FEES ENCLOSED</b>  |              |  |               | <b>\$ 750.00</b>                                |              |
|   |              |  | Amount to be: | Refunded:                                       |              |
|   |              |  |               | Charged:  |              |

- a. ☒ A check in the amount of \$750.00 to cover the fees is enclosed.
- b. ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**23364**

Customer Number  
Phone: (703) 683-0500

DATE: September 30, 2005

Respectfully submitted,



**Richard E. Fichter**  
Attorney for Applicant  
Registration Number: 26,382